No. 17-1077	
Title:	Francis V. Lorenzo, Petitioner v. Securities and Exchange Commission
Docketed:	January 31, 2018
Linked with 17A857	
Lower Ct:	United States Court of Appeals for the District of Columbia Circuit
Case Numbers:	(15-1202)
Decision Date:	September 29, 2017
Questions Presented	

DATE	PROCEEDINGS AND ORDERS
Dec 15 2017	Application (17A857) to extend the time to file a petition for a writ of certiorari from December 28, 2017 to January 26, 2018, submitted to The Chief Justice. Main Document Main Document
Dec 19 2017	Application (17A857) granted by The Chief Justice extending the time to file until January 26, 2018.
Jan 26 2018	Petition for a writ of certiorari filed. (Response due March 2, 2018) Petition Appendix Certificate of Word Count Proof of Service
Feb 23 2018	Motion to extend the time to file a response from March 2, 2018 to April 2, 2018, submitted to The Clerk. Main Document
Feb 27 2018	Motion to extend the time to file a response is granted and the time is extended to and including April 2, 2018.
Mar 26 2018	Motion to extend the time to file a response from April 2, 2018 to May 2, 2018, submitted to The Clerk. Main Document
Mar 28 2018	Motion to extend the time to file a response is granted and the time is further extended to and including May 2, 2018.
May 02 2018	Brief of respondent Securities and Exchange Commission in opposition filed. Proof of Service Main Document

May 22 2018	DISTRIBUTED for Conference of 6/7/2018.
May 23 2018	Reply of petitioner Francis V. Lorenzo filed. (Distributed) **Main Document** **Certificate of Word Count** **Proof of Service**
Jun 11 2018	DISTRIBUTED for Conference of 6/14/2018.
Jun 18 2018	Petition GRANTED.
Jul 31 2018	Motion to extend the time to file the opening briefs on the merits granted. The time to file the joint appendix and petitioner's brief on the merits is extended to and including August 20, 2018. The time to file respondent's brief on the merits is extended to and including October 5, 2018.
Jul 31 2018	Motion for an extension of time to file the opening briefs on the merits filed. **Main Document**
Aug 20 2018	Brief of petitioner Francis V. Lorenzo filed. **Main Document** **Certificate of Word Count** **Proof of Service**
Aug 20 2018	Joint appendix filed. (Statement of costs filed.) **Main Document** **Proof of Service**
Aug 27 2018	Amicus brief of Securities Law Professors not accepted for filing. (Duplicate submission - September 10, 2018)
Aug 27 2018	Brief amici curiae of Securities Law Professors filed. **Main Document** **Certificate of Word Count** **Proof of Service**
Aug 27 2018	Brief amici curiae of Securities Industry and Financial Markets Association and Chamber of Commerce of the United States of America filed. **Main Document** **Certificate of Word Count** **Proof of Service** **Other** **Other**
Oct 05 2018	Brief of respondent Securities and Exchange Commission filed. **Proof of Service** **Main Document**
Oct 05 2018	Motion to argue pro hac vice filed by respondent Securities and Exchange Commission. **Proof of Service** **Main Document**
Oct 09 2018	SET FOR ARGUMENT on Monday, December 3, 2018
Oct 12 2018	Brief amicus curiae of The North American Securities Administrators

	Association, Inc. filed. **Main Document** **Proof of Service** **Certificate of Word Count**
Oct 15 2018	Record received from the U.S.C.A. District of Columbia Circuit.
Oct 19 2018	CIRCULATED
Oct 19 2018	Justice Kavanaugh is recused in this case.
Oct 26 2018	Motion to argue pro hac vice filed by respondent GRANTED. Justice Kavanaugh took no part in the consideration or decision of this motion.
Nov 01 2018	Reply of petitioner Francis V. Lorenzo filed. (Distributed) **Main Document** **Certificate of Word Count** **Proof of Service**
Dec 03 2018	Argued. For petitioner: Robert Heim, New York, N. Y.; For respondent: Christopher G. Michel, Assistant to the Solicitor General, Department of Justice, Washington, D. C. (pro hac vice.)

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